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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.	03-1427/L13.12-0249
First Inventor or Application Identifier	Daniel J. Murray et al.
Title	PROCESS AND APPARATUS OF NOTIFYING A REFERENCE MODEL OF THE OCCURRENCE OF AN EVENT IN A MONITORED INTERFACE

Express Mail Label No. EV388908215US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17
(Submit an original and a duplicate for fee processing) | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Sheets 20]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. <input type="checkbox"/> Computer Readable Copy
b. <input type="checkbox"/> Paper Copy (Identical to computer copy)
c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 5] | |
| 4. Oath or Declaration [Total Sheets 3]
a. <input checked="" type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b). | |
- * NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ACCOMPANYING APPLICATION PARTS

- | | |
|--|--|
| 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 9. <input type="checkbox"/> English Translation Document | |
| 10. <input checked="" type="checkbox"/> Information Disclosure
Statement (IDS/PTO – PTO) | <input type="checkbox"/> Copies of IDS |
| 11. <input type="checkbox"/> Preliminary Amendment | |
| 12. <input checked="" type="checkbox"/> Two Return Receipt Postcards (MPEP 503) | |
| 13. <input type="checkbox"/> *Small Entity Statement filed in prior application.
Statement(s) Status still proper and desired
(PTO/SB/09-12) | |
| 14. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) | |
| 15. <input type="checkbox"/> Request and Cert. Under 35 USC 122 (Non-Pub) | |
| 16. <input checked="" type="checkbox"/> Other: Application Data Sheet..... | |

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

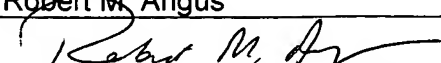
24319

☐ Customer Number or Bar Code Label

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or ☒ Correspondence address below

Name	Leo J. Peters				
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Name (Print/type)	Robert M. Angus	Registration No. (Attorney/Agent)	24,383
Signature		Date	12/12/03

17157 U.S. PTO

FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Title

Group Art Unit

Examiner Name

HEREWITH

Daniel J. Murray et al.

PROCESS AND APPARATUS OF
NOTIFYING A REFERENCE MODEL OF
THE OCCURRENCE OF AN EVENT IN A
MONITORED INTERFACE

Total Amount of Payment \$ 770

Atty. Docket Number

03-1427/L13.12-0249

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 12-2252.

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

1001 770 2001 385 ☒ Utility Filing Fee1002 340 2002 170 ☐ Design Filing Fee1004 770 2004 385 ☐ Reissue Filing Fee1005 160 2005 80 ☐ Prov. Filing Fee

Subtotal (1) \$ 770

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	20	20	0	18	0
Indep.	3	3	0	86	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Multiple Dependent Claims

Large Entity		Small Entity		Description
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple Dependent Claims
1204	86	2204	43	Reissue Independent Claims over Original Patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

1202 18 2202 9 Claims in excess of 20

1201 86 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple Dependent Claims

1204 86 2204 43 Reissue Independent Claims over Original Patent

1205 18 2205 9 Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 770

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
1051	130	2051	65	Surcharge - Late filing fee or oath	
1052	50	2052	25	Surcharge - Late provisional Filing Fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For Filing a Request for Reexamination. (ex parte)	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1814	110	2814	55	Terminal Disclaimer Fee	
1452	110	2452	55	Petition to Revive - unavoidable	
1453	1,330	2453	665	Petition to Revive - unintentional	
1501	1,330	2501	665	Utility/Reissue issue fee (inc. advance copies)	
1502	480	2502	240	Design issue fee (inc. advance copies)	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) \$0

Signature

(Robert M. Angus)

Reg. No. 24,383

Date

Dec 12, 2003

Deposit Account No. 12-2252